

07-25-2001

U.S. Department of Commerce
Patent and Trademark Office
PATENT



101787561

ET

R

11002 U.S. PTO
09/30/1764



The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

Submission Type

- ☒ New
☐ Resubmission (Non-Recordation)
Document ID #
Correction of PTO Error
☐ Reel # Frame #
Corrective Document
☐ Reel # Frame #

Conveyance Type

- ☒ Assignment
☐ License
☐ Merger
☐ Security Agreement
☐ Change of Name
☐ Other

U.S. Government

(For Use ONLY by U.S. Government Agencies)

- ☐ Departmental File ☐ Secret File

Conveying Party(ies)

☒ Mark if additional names of conveying parties attached

Name (line 1) Clifton A. Alferness

Execution Date
Month Day Year
06 12 2001

Name (line 2)

Second Party

Name (line 1) Robert Barry

Execution Date
Month Day Year
06 18 2001

Name (line 2)

Receiving Party

☐ Mark if additional names of receiving parties attached

Name (line 1) Spiration, Inc.

Name (line 2)

Address (line 1) 18109 NE 76th Street

Address (line 2)

Address (line 3) Redmond

Washington

98052

City

State/Country

Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

FOR OFFICE USE ONLY

Public burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief Information Officer, Washington, D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0651-0027), Washington, D.C. 20503. See OMB Information Collection Budget Package 0651-0027, Patent and Trademark Assignment Practice. DO NOT SEND REQUESTS TO RECORD ASSIGNMENT DOCUMENTS TO THIS ADDRESS.

Mail documents to be recorded with required cover sheet(s) information to:
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

000000004 09901784

40.00 05

Correspondent Name and Address

Area Code and Telephone Number

(425) 455-5575

Name Richard O. Gray, Jr.

Address (line 1) Graybeal Jackson Haley LLP

Address (line 2) 155 108th Avenue N.E., Suite 350

Address (line 3) Bellevue, Washington 98004-5901

Address (line 4)

Pages

Enter the total number of pages of the attached conveyance document including any attachments

3

Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached.

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

09/1901764

Patent Number(s)

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

Patent Cooperation Treaty (PCT)

Enter PCT application number
only if a U.S. Application
Number as not been assigned.

PCT

PCT

PCT

PCT

PCT

PCT

Number of Properties

Enter the total number of properties involved.

#

1

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41):

\$ 40.00

Method of Payment:

Enclosed ☒

Deposit Account ☐

Deposit Account

Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

#

07-1897

Authorization to charge additional fees:

Yes ☒

No ☐

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Richard O. Gray, Jr.

Name of Person Signing

Richard O. Gray, Jr.

Signature

July 10, 2001

Date

FORM PTO-1619A
Expires 06/30/99
OMB 0651-0027

**RECORDATION FORM COVER SHEET
CONTINUATION
PATENTS ONLY**

U.S. Department of Commerce
Patent and Trademark Office
PATENT

Conveying Party(ies)

☐ Mark if additional names of conveying parties attached

Enter additional Conveying Parties

Name (line 1) Clint Finger

Execution Date
Month Day Year
06 13 2001

Name (line 2)

Name (line 1) Hugo X. Gonzalez

Execution Date
Month Day Year
06 12 2001

Name (line 2)

Name (line 1)

Execution Date
Month Day Year

Name (line 2)

Receiving Party(ies)

☐ Mark if additional names of receiving parties attached

Enter additional Receiving Party(ies)

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

11002 U.S. PTO
09/30/764

07/10/01

ASSIGNMENT OF U.S. AND FOREIGN RIGHTS IN INVENTION

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One United States Dollar (\$1.00) or its equivalent, the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNOR Clifton A. Alferness, residing or having a principal place of business at 9010 - 258th Avenue Northeast, Redmond, Washington 98053, and a citizen or juristic entity of the United States,

ASSIGNOR Robert Barry, residing or having a principal place of business at 13608 88th Place N.E., Kirkland, Washington 98034, and a citizen or juristic entity of the United States,

ASSIGNOR Clint Finger, residing or having a principal place of business at 243 165th Avenue S.E., Bellevue, Washington 98008, and a citizen or juristic entity of the United States,

ASSIGNOR Hugo X. Gonzalez, residing or having a principal place of business at 23406 - 75th Avenue Southeast, Woodinville, Washington 98072, and a citizen or juristic entity of Ecuador,

hereby sell, assign, and transfer to:

ASSIGNEE Spiration, Inc., residing or having a principal place of business at 18109 NE 76th St., Redmond, Washington 98052, and a citizen or juristic entity of the state of Washington, and the successors, assigns, and legal representatives of the ASSIGNEE, the entire right, title, and interest in the United States and in all foreign countries, including all rights to claim priority, in and to any and all improvements which are disclosed in the invention entitled CONSTRUCTION DEVICE VIEWABLE UNDER X RAY FLUOROSCOPY and which is found in United States patent application serial number _____, filed on _____ (if not present when this instrument is executed, applicant's attorney or agent is authorized to enter the application serial number and filing date upon receipt of the same from the United States Patent and Trademark Office), and in and to all Letters Patent to be obtained from said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to Letters Patent from any reissue or re-examination thereof.

ASSIGNORS hereby covenant that no assignment, sale, agreement, or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNORS further covenant that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts, documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNORS and will testify as to the same in any interference, litigation, or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue, and enforce said application, said invention, and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purpose thereof.

ASSIGNORS:

Name: Clifton A. Alferness

Signature: 

Title (if applicable): _____

EXECUTED ON, and effective from this 12 day of June, 2001
at Redmond WA

I hereby declare that I was personally present and did see the ASSIGNORS, who are personally known to me to be the persons named in the assignment, duly sign and execute the same on this 12 day of June, 2001 of their own free will for the purpose therein expressed at the following location: _____

WITNESS

Name: John H. Wang

Signature: 

Name: Robert Barry

Signature: 

Title (if applicable): _____

EXECUTED ON, and effective from this 18 day of JUNE, 2001
at Redmond, wa

I hereby declare that I was personally present and did see the ASSIGNORS, who are personally known to me to be the persons named in the assignment, duly sign and execute the same on this 18 day of June, 2001 of their own free will for the purpose therein expressed at the following location: _____

WITNESS

Name: John H. Wang

Signature: 

Name: Clint Finger

Signature: Clint Finger

Title (if applicable): Development Engineer

EXECUTED ON, and effective from this 13 day of June, 2001
at Redmond, WA

I hereby declare that I was personally present and did see the ASSIGNORS, who are personally known to me to be the persons named in the assignment, duly sign and execute the same on this 13 day of June, 2001 of their own free will for the purpose therein expressed at the following location: _____

Redmond, WA

WITNESS

Name: Brendan Sherman

Signature: Brendan Sherman

Name: Hugo X. Gonzalez

Signature: Hugo Gonzalez

Title (if applicable): M.D.

EXECUTED ON, and effective from this 12 day of June, 2001
at Redmond, WA

I hereby declare that I was personally present and did see the ASSIGNORS, who are personally known to me to be the persons named in the assignment, duly sign and execute the same on this 18th day of June, 2001 of their own free will for the purpose therein expressed at the following location: _____

Redmond, WA

WITNESS

Name: Leslie Snyder

Signature: Leslie Snyder



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

SEPTEMBER 26, 2001

PTAS

GRAYBEAL JACKSON HALEY LLP
RICHARD O. GRAY, JR.
155 108TH AVENUE N.E., SUITE 350
BELLEVUE, WASHINGTON 98004-5901



101787561A

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 07/10/2001

REEL/FRAME: 011994/0552
NUMBER OF PAGES: 6

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

ALFERNES, CLIFTON A.

DOC DATE: 06/12/2001

ASSIGNOR:

BARRY, ROBERT

DOC DATE: 06/18/2001

ASSIGNOR:

FINGER, CLINT

DOC DATE: 06/13/2001

ASSIGNOR:

GONZALEZ, HUGO X.

DOC DATE: 06/12/2001

ASSIGNEE:

SPARATION, INC.
18109 NE 76TH STREET
REDMOND, WASHINGTON 98052

SERIAL NUMBER: 09901764
PATENT NUMBER:

FILING DATE: 07/10/2001
ISSUE DATE:

011994/0552 PAGE 2

SHAREILL COLES, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS